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PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 065/-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

09/640,168

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**Application Number** 

|  | ISMITTAL                                 |  | Filing Date First Named Inventor |   | August 15, 2000   |  |  |  |
|--|--|--|----------------------------------|---|---|--|--|--|
| F  | ORM                                      |  |                                  |   | McLarty, Hugh J.  |  |  |  |
| (to be used for all correspondence after initial filing) |  |  |                                  | it  | 2675  |  |  |  |
|  | ٨.                                       |  | Exami                            | ner Name  | Srilakshmi Kumar  |  |  |  |
| Total Number of Pages                                    | Total Number of Pages in This Submission |  |                                  | ey Docket Number  | 09623V-027700US   |  |  |  |
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| Fee Transmittal F  | orm                                      | ☐ Drawin   | g(s)                             |   | After Allowance Communication to Group  |  |  |  |
| Fee Attached   | Licensing-related Papers                 |  |                                  | Appeal Communication to Board of Appeals and Interferences        |   |  |  |  |
| Amendment/Reply  | Petition                                 |  |                                  | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |   |  |  |  |
| ☐ After Final  |  | Petition to Convert to a Provisional Application               |                                  |   | Proprietary Information RECEIVED  |  |  |  |
| Affidavits/declaration(s)                                |  | Power of Attorney, Revocation Change of Correspondence Address |                                  |   | Status Letter SEP 1 5 2003  |  |  |  |
| Extension of Time  | Terminal Disclaimer                      |  |                                  | Other Enclosure(s) (please identify below echnology Center 260    |   |  |  |  |
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| ☐ Information Disclosure Statement                       |  |  |                                  |   |   |  |  |  |
| Certified Copy of Priority Document(s)                   |  | Rema   | rks                              | The Commissioner is Account 20-1430.                              | authorized to charge any additional fees to Deposit   |  |  |  |
| Response to Missing Parts/ Incomplete Application        |  |  |                                  |   |   |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53      |  |  | •                                |   | ·   |  |  |  |
|  | SIGN                                     | NATURE O   | F APPL                           | ICANT, ATTORNEY,  | OR AGENT  |  |  |  |
| Firm<br>or   | Townsend and Townsend and Crew LLP       |  |                                  |   |   |  |  |  |
| Individual   | Steve Y. Cho Reg. No. 44,612             |  |                                  |   |   |  |  |  |
| Signature  | Str                                      | . //   |                                  |   |   |  |  |  |
| Date   | 1/8/0                                    | 3  |                                  |   |   |  |  |  |
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| Signature  |  | \  |                                  |   | Date 9/8/07   |  |  |  |
|  | JVV                                      |  |                                  | ·   |   |  |  |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (05-03) se through 04/30/2003. OMB 0651-0032 Approve Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known FEE TRANSMITTAL 09/640,168 **Application Number** for FY 2003 August 15, 2000 Filing Date Effective 01/01/2003. Patent fees are subject to annual revision. First Named Inventor McLarty, Hugh J. 1 5 2003 Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** Srilakshmi Kumar Art Unit 2675 <del>T66imology Genter 26</del>∮0 **TOTAL AMOUNT OF PAYMENT** 09623V-027700US Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Credit Card MoneyOrder Other None Check Entity Deposit Account: Small Entity Large Fee Fee Deposit Fee Description Code (\$) Code (\$) Paid 20-1430 Account 1051 130 2051 65 Surcharge - late filing fee or oath Number 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet. Deposit Townsend and Townsend and Crew LLP 1053 130 1053 130 Non-English specification Account 1812 2.520 1812 For filing a request for reexamination 2,520 1804 920\* 1804 The Commissioner is authorized to: (check all that apply) 920 Requesting publication of SIR prior to Examiner action Charge fee(s) indicated below Credit any overpayments 1805 1,840\* 1805 Requesting publication of SIR after Charge any additional fee(s) during the pendency of this application Examiner action Charge fee(s) indicated below, except for the filing fee 1251 110 2251 55 Extension for reply within first month to the above-identified deposit account. 1252 2252 Extension for reply within second 410 205 410 **FEE CALCULATION** 1253 2253 465 930 Extension for reply within third month **BASIC FILING FEE** 1254 1,450 2254 725 Extension for reply within fourth arge Entity Small Entity month Fee Fee Fee **Fee Description** 1255 1.970 2255 985 Extension for reply within fifth month Code (\$) Code (\$) Fee Paid 1401 320 2401 160 320 Notice of Appeal 1001 750 2001 375 Utility filing fee 1402 320 2402 160 Filing a brief in support of an appeal 1002 2002 165 330 Design filing fee

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|                    | 520<br>750   | F             |              | Plant filing fee Reissue filing fee                           | 1451                | 1,510      | 1451       | 1,510    | Petition to institute a public use proceeding                                    |    |
| 1005               | 160          | 2005          | 80           | Provisional filing fee  | 1452                | 110        | 2452       | 55       | Petition to revive – unavoidable   |    |
|                    |              |               |              |   | 1453                | 1,300      | 2453       | 650      | Petition to revive – unintentional   |    |
|                    |              | SU            | JBTOTA       | AL (1) (\$)   | 1501                | 1,300      | 2501       | 650      | Utility issue fee (or reissue)   |    |
| 2. EX              | TRA C        | LAIM FE       | ES FO        | R UTILITY AND REISSUE   | 1502                | 470        | 2502       | 235      | Design issue fee   |    |
| F:                 |              |               |              |   | 1503                | 630        | 2503       | 315      | Plant issue fee  |    |
|                    |              |               | Evto         | Fees from<br>a Claims below Fee Paid                          | 1460                | 130        | 1460       | 130      | Petitions to the Commissioner  |    |
| Total C            | laims        | ·•            | = [          | E Claims  | 1807                | 50         | 1807       | 50       | Petitions related to provisional applications                                    |    |
| Indepen<br>Claims  | dent         | ··.           | = [          | *   | 1806                | 180        | 1806       | 180      | Submission of Information Disclosus Stmt   | е  |
| Multiple<br>Depend | ent          |               | _            | X =   | 8021                | 40         | 8021       | 40       | Recording each patent assignment<br>per property (times number of<br>properties) |    |
| Large I<br>Fee     | ntity<br>Fee | Small E       | ntity<br>Fee |   | 1809                | 750        | 2809       | 375      | Filing a submission after final rejecti<br>(37 CFR § 1.129(a))                   | on |
| Code               | (\$)         | Code          | (\$)         | Fee Description   | 1810                | 750        | 2810       | 375      | For each additional invention to be  |    |
| 1202               | 18           | 2202          | 9            | Claims in excess of 20  | 1                   |            |            |          | examined (37 CFR § 1.129(b))   |    |
| 1201               | 84           | 2201          | 42           | Independent claims in excess of 3                             | 1801                | 750        | 2801       | 375      | Request for Continued Examination  |    |
| 1203               | 280          | 2203          | 140          | Multiple dependent claim, if not paid                         | l                   |            |            |          | (RCE)  | -  |
| 1204               | 84           | 2204          | 42           | ** Reissue independent daims<br>over original patent          | 1802                | 900        | 1802       | 900      | Request for expedited examination of a design application                        |    |
| 1205               | 18           | 2205          | 9            | ** Reissue claims in excess of 20<br>and over original patent | Culor too (opcorty) |            |            |          | ***************************************  |    |
| **or nu            | mber pre     | viously paid. |              | TOTAL (2) (\$)  | *Redu               | ced by Bas | sic Filing | j Fee Pa | id SUBTOTAL (3) (\$)730  |    |
|                    |              |               |              |   |                     |            |            |          |  |    |

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Steve Y. Cho

Registration No. (Attorney/Agent)

44,612

Telephone
650-326-2400

Date

7/8/os

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#12 TO/SB/31 (08-03)

## NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES

Docket Number (Optional) 09623V-027700US

|  |   |                                       |                          | <u> </u>                                     |    |  |
|--|---|---------------------------------------|--------------------------|--|----|--|
| I hereby o   | certify that this correspondence is being deposited   | In re Application                     | n of                     | <del></del>                                  | ļ  |  |
|  | Jnited States Postal Service with sufficient postage ass mail in an envelope addressed to                   | Hugh J. McLarty et al.                |                          |  |    |  |
|  | sioner for Patents, P.O. Box 1450, Alexandria, VA   | Application Nun                       | nber                     | Filed  |    |  |
| 22313-149<br>on <u>Septe</u>                       | mber 8/ 2003  | 09/640,168                            |                          | August 15, 2000                              | 4  |  |
| Signature  | · <i>T</i>  | For                                   |                          |  |    |  |
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| Harrie All   | urea o. beck  | 2675                                  | Srilakshmi Kumar         |  | 4  |  |
| Applican   | it hereby <b>appeals</b> to the Board of Patent Appe  | als and Interfere                     | ences from the last de   | ecision of the examiner.                     |    |  |
|  |   |                                       |                          |  | Į. |  |
| •  |   |                                       |                          |  |    |  |
| The fe   | e for this Notice of Appeal is (37 CFR 1.17(b))   |                                       |                          | \$320.                                       |    |  |
| ***************************************            | o to the reason page is (or or it in (o))   |                                       |                          | חבסביי                                       |    |  |
|  | Applicant claims small entity status. See 37 CFR  | 1.27. Therefore,                      | the fee shown above      | KECEN  | 作り |  |
|  | is reduced by half, and the resulting fee is:   |                                       |                          | \$.<br>• • • • • • • • • • • • • • • • • • • | 1  |  |
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|  | The Director has already been authorized to char<br>Fee Transmittal Sheet.                                  | ge fees in this app                   | olication to a Deposit A | = =  |    |  |
|  | The Director is hereby authorized to charge any f<br>Deposit Account No. <u>20-1430</u> . I have enclosed a |                                       |                          | y overpayment to                             |    |  |
|  | A petition for an extension of time under 37 CFR  | 1.136(a) (PTO/SB                      | 3/22) is enclosed.       |  |    |  |
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| l am   | the ·   |                                       | $\mathcal{M}$            | 12/1 -                                       |    |  |
|  | applicant/inventor.   |                                       | -36                      | Signature                                    | ŧ  |  |
| П  | assignee of record of the entire interest.  |                                       |                          | •  |    |  |
| _  | See 37 CFR 3.71. Statement under 37 CFR 3.7   | '3(b) is enclosed.                    |                          |  |    |  |
|  | (Form PTO/SB/96)  |                                       | Steve Y. Ch              |  |    |  |
|  | ·   |                                       | Typed                    | or printed name                              |    |  |
| Ø  | attorney or agent of record.  Registration number 44,612  |                                       | 650-                     | 324-1380                                     |    |  |
|  | attorney or agent acting under 37 CFR 1.34(a).  |                                       | Te                       | elephone number                              |    |  |
|  | Registration number if acting under 37 CFR 1.34(a).   |                                       | 9                        | 1/8/03                                       | 1  |  |
|  |   | <del>.</del>                          |                          | Date   |    |  |
| NOTE: S  | ignatures of all the inventors or assignees of reco   | ord of the entire int                 | terest or their represen | itative(s) are required.                     |    |  |
| Submit n   | nultiple forms if more than one signature is require  |                                       |                          | ,      | ]  |  |
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